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August 8, 2001

BOX: MISSING PARTS

**VIA FIRST CLASS MAIL**

Assistant Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Re: Patent Application for "Method and System for Authorizing and Certifying Electronic Data Transfers"  
Serial No.: 09/837,884  
File Date: April 18, 2001  
Our File No.: 124521-1000

Dear Sir:

Enclosed for filing in connection with the above-referenced U.S. patent application, please find the following:

- (1) Copy of Notice to File Missing Parts;
- (2) Response to Missing Parts;
- (3) Declaration and Power of Attorney;
- (4) Drawings (20 sheets);
- (5) Check in the amount of \$65.00; and
- (6) Return Postcard.

Please file the above documents and return the file-stamped postcard to our offices.

Thank you for your assistance. Should you have any questions, please call me.

Respectfully submitted,  
GARDERE WYNNE SEWELL, L.L.P.

Daniel J. Chalker  
Registration No. 40,552

DJC/hh

Enclosures

cc: Sanford E. Warren, Jr.

DALLAS 1041154v1



VIA FIRST CLASS MAIL

Attorney Docket No. 124521-1000

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

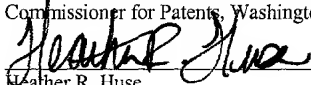
In Re Application: M. Russell Merchen

Serial No. 09/837,884

Filed: April 18, 2001

Art Unit: 2131

Examiner: Not Assigned

<p style="text-align: center;">CERTIFICATE OF MAILING</p> <p>Date of Deposit: 8/8/01</p> <p>I hereby certify that the papers listed below and attached hereto are being deposited with the United States Postal Service via First Class Mail service under 37 C.F.R. 1.8 on the date indicated above and addressed to: Assistant Commissioner for Patents, Washington, DC 20231.</p> <p> Heather R. Huse</p>
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For: METHOD AND SYSTEM FOR AUTHORIZING AND CERTIFYING ELECTRONIC DATA TRANSFERS

BOX MISSING PARTS  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application mailed June 13, 2001 a copy of which is returned herewith, Applicant respectfully submits this response.

***Declaration and Power of Attorney***

As required by the Notice of Missing Parts of Application, Applicant hereby encloses a Declaration and Power of Attorney for the inventor, M. Russell Merchen.

### ***Required Fees***

Submitted herewith is a check in the amount of \$65.00 to cover the surcharge claiming Small Entity status. Applicant, therefore, respectfully submits that no additional fees are due in excess of the enclosed check. If this is incorrect, the Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account 07-0153.

### ***Substitute Drawings***

Submitted herewith are twenty (20) sheets of substitute drawings that have the appropriate margins as required by the Notice of Missing Parts of Application.

### ***Conclusion***

Applicant respectfully submits that all required parts for the application have now been filed and that no additional fees are required.



Respectfully submitted,

GARDERE WYNNE SEWELL, L.L.P.

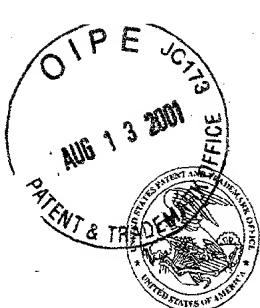
A handwritten signature in black ink, appearing to read "Daniel J. Chalker".

Daniel J. Chalker  
Registration No. 40,552

ATTORNEY FOR APPLICANT

Dated: August 8, 2001  
1601 Elm Street, Suite 3000  
Dallas, Texas 75201  
(214) 999-4785 – Telephone  
(214) 999-4667 - Facsimile

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,884	04/18/2001	M. Russel Merchen	124521-1000

CONFIRMATION NO. 8347

## FORMALITIES LETTER



\*OC000000006178680\*

Gardere Wynne Sewell, LLP  
3000 Thanksgiving Tower  
1601 Elm Street Suite 3000  
Dallas, TX 75201-4767

DOCKETED

*missing parts / correction to filing receipt*Due 8-13-01

Date Mailed: 06/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/15/2001 RABDII 11000000 13887884

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*A copy of this notice **MUST** be returned with the reply.*

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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